

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bradley J. McGill
 Evan J. Winston

Serial No.: Not Yet Assigned

Group Art Unit:

Filed: Concurrently Herewith

Examiner: Unassigned

For: DERIVATIVE SECURITIES AND
 SYSTEM FOR TRADING SAME

Assistant Commissioner for Patents
 Washington, D.C. 20231

DECLARATION FOR PATENT APPLICATION

Sir:

As a below-named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original, first, and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled **DERIVATIVE SECURITIES AND SYSTEM FOR TRADING SAME**, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application.

PCT/US01/11748 May 4, 2001 Pending
(Application Serial No.) (Filing Date) (Status - patented, pending, abandoned)

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I hereby declare that all statements made herein of my knowledge are true and that all statements made of information and belief are believed to be true; and further that these statements were made with a knowledge that willful false statements in like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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